

# COVER SHEET

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S.E.C. Registration Number

G L O B E T E L E C O M , I N C .

(Company's Full Name)

T H E G L O B E T O W E R

3 2 N D S T R E E T C O R N E R 7 T H

A V E N U E , B O N I F A C I O

G L O B A L C I T Y , T A G U I G

Business Address: No. Street City/Town/Province

MARISALVE CIOCSON-CO

Contact Person

797-4269

Company/Telephone Number

1 2

Month

3 1

Day

17-C

FORM TYPE

0 4

Month

1 8

Day

Secondary License Type, If Applicable

M S R D

Dept. Requiring this Doc.

Amended Articles Number/Section

Total Amount of Borrowings

Total No. of Stockholders

Domestic

Foreign

To be accomplished by SEC Personnel concerned

File Number

LCU

Document I.D.

Cashier

STAMPS

Remarks - pls. use black ink for scanning purposes

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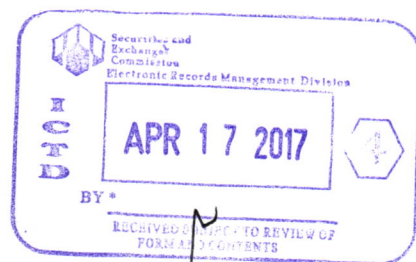
STAMPS

Remarks - pls. use black ink for scanning purposes

SECURITIES AND EXCHANGE COMMISSION

SEC FORM 17-C

CURRENT REPORT UNDER SECTION 17  
OF THE SECURITIES REGULATIONS CODE (SRC)  
AND SRC RULE 17(a)-1(b)(3) THEREUNDER



1. 17 April 2017  
Date of Report (Date of earliest event reported)
2. 1177  
SEC Identification Number
3. 000-768-480-000  
BIR Tax Identification Number
4. GLOBE TELECOM, INC.  
Exact Name of registrant as specified in its charter
5. PHILIPPINES  
Province, country or other jurisdiction of incorporation
6. [REDACTED] (SEC Use Only)  
Industry Classification Code
7. Metro Manila, Philippines  
Address of principal office
8. (02) 797-2000  
Registrant's telephone number, including area code
9. N.A.  
Former name or former address, if changed since last report
10. Securities registered pursuant to Sections 4 and 8 of the SRC

Title of Each Class	Number of Shares of Common Stock Outstanding and Amount of Debt Outstanding (as of December 31, 2016)
<b>COMMON SHARES</b>	132,758,588
<b>TOTAL DEBT (in Millions of Pesos)</b>	105,726

Indicate the item numbers reported herein : Please refer to attached

Re: Corporate Disclosure

Pursuant to the requirements of the Securities Regulations Code, the registrant has duly caused this report to be signed on its behalf by the undersigned hereunto duly authorized.

GLOBE TELECOM, INC.

Registrant

Date : 17 April 2017

ATTY. MARISALVE CIOCON-CO

Chief Compliance Officer, SVP for Law and Compliance, and Assistant Corporate Secretary



 **Globe Telecom, Inc.**  
The Globe Tower  
32nd Street corner 7th Avenue,  
Bonifacio Global City,  
Taguig, Philippines 1634

 +632.7972000

 [www.globe.com.ph](http://www.globe.com.ph)

17 April 2017

**Securities and Exchange Commission**

SEC Building, EDSA, East Greenhills,  
Mandaluyong City

Attention: Atty. Justina F. Callangan  
*Director, Corporate Governance and Finance Department*

Mr. Vicente Graciano P. Felizmenio, Jr.  
*Director, Markets and Securities Regulation Department*

**The Philippine Stock Exchange, Inc.**

3/F Tower One and Exchange Plaza,  
Ayala Triangle, Ayala Avenue, Makati City

Attention: Mr. Jose Valeriano B. Zuño III  
*Officer-In-Charge, Head of Disclosure Department*

**Philippine Dealing and Exchange Corporation**

6766 Ayala Avenue corner Paseo de Roxas, Makati City

Attention: Ms. Vina Vanessa S. Salonga  
*Head, Issuer Compliance and Disclosure Department*

Gentlemen:

In compliance with the Securities and Exchange Commission Memorandum Circular No. 5, Series of 2017 (SEC MC No. 5, S2017) dated 07 March 2017 on the Certificate of Qualification, we are submitting the Certifications of our independent directors, namely Rex Ma. A. Mendoza, Saw Phaik Hwa and Manuel A. Pacis.

The Certifications are being submitted before the election of the abovementioned independent directors at our Annual Stockholders' Meeting to be held on 18 April 2017. Our Information Statement (SEC Form 20-IS) for the year 2016 was submitted to the Commission on 28 February 2017.

We trust that you will find everything in order.

Thank you very much.

Very truly yours,

**ATTY. MARISALVE CIOCON-CO**

Chief Compliance Officer, SVP for Law and Compliance,  
and Assistant Corporate Secretary



## CERTIFICATION OF INDEPENDENT DIRECTOR


I, Manuel A. Pacis, Filipino, of legal age and a resident of 31-A North Pacific Plaza Towers, Fort Bonifacio, Taguig City, after having been duly sworn to in accordance with law do hereby declare that:

1. I am a nominee for independent director of Globe Telecom, Inc. and have been its independent director since April 12, 2011.
2. I am not affiliated with other companies or organizations.
3. I possess all the qualifications and none of the disqualifications to serve as an independent director of Globe Telecom, Inc., as provided for in Section 38 of the Securities Regulation Code and its Implementing Rules and Regulations.
4. I am not related to any director/officer/substantial shareholder of Globe Telecom, Inc. and its subsidiaries and affiliates other than the relationship provided under Rule 38.23 of the Securities Regulation Code.
5. To the best of my knowledge, I am not the subject of any pending criminal or administrative investigation or proceeding.
6. I shall faithfully and diligently comply with my duties and responsibilities as independent director under the Securities Regulation Code and its Implementing Rules and Regulations, Code of Corporate Governance and other SEC issuances.
7. I shall inform the Corporate Secretary of Globe Telecom, Inc. of any changes in the abovementioned information within five days from its occurrence.

Done, this 17<sup>th</sup> day of April at TAGUIG, Philippines.

  
Manuel A. Pacis

SUBSCRIBED AND SWORN to before me this 17<sup>th</sup> day APR 17 2017 at TAGUIG,  
affiant personally appeared before me and exhibited to me his Tax Identification Number 216-629-559-000.

  
ATTY. CLARISA A. TIMBANG, CPA  
Notary Public for Taguig City  
28<sup>th</sup> Floor, The Globe Tower  
32<sup>nd</sup> Street corner 7<sup>th</sup> Avenue  
Bonifacio Global City, Taguig City  
Appointment No. 44 (2017-2018)  
Until December 31, 2018  
PTR No. A-3274577/01-11-2017/Taguig City  
IBP No. 1053696/12-14-2016/Pasig City  
Roll of Attorneys No. 64868

Doc. No.; 78  
Page No. 13 ;  
Book No. 1 ;  
Series of 2017 .

## CERTIFICATION OF INDEPENDENT DIRECTOR

I, Saw Phaik Hwa, Singaporean, of legal age and a resident of 16 Sime Road, Singapore 288299, after having been duly sworn to in accordance with law do hereby declare that:

1. I am a nominee for independent director of Globe Telecom, Inc. and have been its independent director since April 7, 2015.
2. I am affiliated with the following companies or organizations:

Company/Organization	Position/Relationship	Period of Service
The Hour Glass Limited*	Director	From September 2012 to Present
Singapore Management University	Member of the Board of Trustees	January 2005 to Present
The Esplanade Co Ltd	Director	From November 2007 to Present
TTSH Community Fund	Board Member	From September 2011 to Present
SISTIC.Com Pte Ltd	Chairman and Director	From April 2016 to Present
Singapore Wushu Dragon & Lion Dance Federation	Honorary Advisor	From May 2016 to Present
Singapore Jian Chuan Tai Chi Chuan Physical Culture Association	President	From April 2008 to Present
Wu's Tai Chi Chuan Academy	Governor (Singapore & Malaysia)	From May 2005 to Present
International Wu Style Tai Chi Chuan Federation	Vice President of Executive Committee	From February 2005 to Present

\*publicly-listed company on the Singapore Stock Exchange

3. I possess all the qualifications and none of the disqualifications to serve as an independent director of Globe Telecom, Inc., as provided for in Section 38 of the Securities Regulation Code and its Implementing Rules and Regulations.
4. I am not related to any director/officer/substantial shareholder of Globe Telecom, Inc. and its subsidiaries and affiliates other than the relationship provided under Rule 38.23 of the Securities Regulation Code.
5. To the best of my knowledge, I am not the subject of any pending criminal or administrative investigation or proceeding.
6. I shall faithfully and diligently comply with my duties and responsibilities as independent director under the Securities Regulation Code and its Implementing Rules and Regulations, Code of Corporate Governance and other SEC issuances.
7. I shall inform the Corporate Secretary of Globe Telecom, Inc. of any changes in the abovementioned information within five days from its occurrence.

Done, this 17<sup>th</sup> day of April at **TAGUIG**, Philippines.



Saw Phaik Hwa

APR 17 2017

**TAGUIG**

SUBSCRIBED AND SWORN to before me this 17<sup>th</sup> day of \_\_\_\_\_ at \_\_\_\_\_  
affiant personally appeared before me and exhibited to me her Passport No. E4970484B issued at  
Ministry of Home Affairs on 19 November 2014.



**ATTY. CLARISA A. TIMBANG, CPA**  
Notary Public for Taguig City  
28<sup>th</sup> Floor, The Globe Tower  
32<sup>nd</sup> Street corner 7<sup>th</sup> Avenue  
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IBP No. 1053696/12-14-2016/Pasig City  
Roll of Attorneys No. 64868

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Page No. 17 ;  
Book No. I ;  
Series of 2017 .

## CERTIFICATION OF INDEPENDENT DIRECTOR

I, Rex Ma. A. Mendoza, Filipino, of legal age and a resident of No. 10 San Antonio Street, Magallanes Village, Makati City, after having been duly sworn to in accordance with law do hereby declare that:

1. I am a nominee for independent director of Globe Telecom, Inc. and have been its independent director since April 8, 2014.
2. I am affiliated with the following companies or organizations:

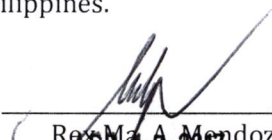
Company/Organization	Position/Relationship	Period of Service
Prime Orion Philippines, Inc.*	Member, Board of Directors	2016 to present
FLT Prime Insurance	Member, Board of Directors	2016 to present
TechnoMarine Enterprise	Member, Board of Directors	2001 to present
Cullinan Group, Inc.	Member, Board of Directors	2008 to present
Seven Tall Trees Events Company, Inc.	Member, Board of Directors	2008 to present
Bataan Peninsula State College	Member, Board of Trustees	2013 to present
Esquire Financing, Inc.	Member, Board of Directors	2013 to present
Rampver Financial and Insurance Agency, Inc.	Member, Board of Directors	2014 to present
TechnoMarine Philippines	Member, Board of Directors	2008 to present

\*publicly-listed company on the Philippine Stock Exchange

3. I possess all the qualifications and none of the disqualifications to serve as an independent director of Globe Telecom, Inc., as provided for in Section 38 of the Securities Regulation Code and its Implementing Rules and Regulations.
4. I am not related to any director/officer/substantial shareholder of Globe Telecom, Inc. and its subsidiaries and affiliates other than the relationship provided under Rule 38.23 of the Securities Regulation Code.
5. To the best of my knowledge, I am not the subject of any pending criminal or administrative investigation or proceeding.
6. I shall faithfully and diligently comply with my duties and responsibilities as independent director under the Securities Regulation Code and its Implementing Rules and Regulations, Code of Corporate Governance and other SEC issuances.
7. I shall inform the Corporate Secretary of Globe Telecom, Inc. of any changes in the abovementioned information within five days from its occurrence.



Done, this 17<sup>th</sup> day of April at **TAGUIG**, Philippines.

  
Romeo A. Mendoza  
APR 17 2017

**TAGUIG**

SUBSCRIBED AND SWORN to before me this 17<sup>th</sup> day of \_\_\_\_\_ at \_\_\_\_\_  
affiant personally appeared before me and exhibited to me his Passport No. EB 9572318 issued in  
Manila on 13 November 2013.



**ATTY. CLARISA A. TIMBANG, CPA**  
Notary Public for Taguig City  
28<sup>th</sup> Floor, The Globe Tower  
32<sup>nd</sup> Street corner 7<sup>th</sup> Avenue  
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